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# Effect of Hydroxychloroquine on Clinical Status at 14 Days in Hospitalized Patients With COVID-19

## A Randomized Clinical Trial

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**IMPORTANCE** Data on the efficacy of hydroxychloroquine for the treatment of coronavirus disease 2019 (COVID-19) are needed.

**OBJECTIVE** To determine whether hydroxychloroquine is an efficacious treatment for adults hospitalized with COVID-19.

**DESIGN, SETTING, AND PARTICIPANTS** This was a multicenter, blinded, placebo-controlled randomized trial conducted at 34 hospitals in the US. Adults hospitalized with respiratory symptoms from severe acute respiratory syndrome coronavirus 2 infection were enrolled between April 2 and June 19, 2020, with the last outcome assessment on July 17, 2020. The planned sample size was 510 patients, with interim analyses planned after every 102 patients were enrolled. The trial was stopped at the fourth interim analysis for futility with a sample size of 479 patients.

**INTERVENTIONS** Patients were randomly assigned to hydroxychloroquine (400 mg twice daily for 2 doses, then 200 mg twice daily for 8 doses) (n = 242) or placebo (n = 237).

**MAIN OUTCOMES AND MEASURES** The primary outcome was clinical status 14 days after randomization as assessed with a 7-category ordinal scale ranging from 1 (death) to 7 (discharged from the hospital and able to perform normal activities). The primary outcome was analyzed with a multivariable proportional odds model, with an adjusted odds ratio (aOR) greater than 1.0 indicating more favorable outcomes with hydroxychloroquine than placebo. The trial included 12 secondary outcomes, including 28-day mortality.

**RESULTS** Among 479 patients who were randomized (median age, 57 years; 44.3% female; 37.2% Hispanic/Latinx; 23.4% Black; 20.1% in the intensive care unit; 46.8% receiving supplemental oxygen without positive pressure; 11.5% receiving noninvasive ventilation or nasal high-flow oxygen; and 6.7% receiving invasive mechanical ventilation or extracorporeal membrane oxygenation), 433 (90.4%) completed the primary outcome assessment at 14 days and the remainder had clinical status imputed. The median duration of symptoms prior to randomization was 5 days (interquartile range [IQR], 3 to 7 days). Clinical status on the ordinal outcome scale at 14 days did not significantly differ between the hydroxychloroquine and placebo groups (median [IQR] score, 6 [4-7] vs 6 [4-7]; aOR, 1.02 [95% CI, 0.73 to 1.42]). None of the 12 secondary outcomes were significantly different between groups. At 28 days after randomization, 25 of 241 patients (10.4%) in the hydroxychloroquine group and 25 of 236 (10.6%) in the placebo group had died (absolute difference, -0.2% [95% CI, -5.7% to 5.3%]; aOR, 1.07 [95% CI, 0.54 to 2.09]).

**CONCLUSIONS AND RELEVANCE** Among adults hospitalized with respiratory illness from COVID-19, treatment with hydroxychloroquine, compared with placebo, did not significantly improve clinical status at day 14. These findings do not support the use of hydroxychloroquine for treatment of COVID-19 among hospitalized adults.

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**Group Information:** The National Heart, Lung, and Blood Institute PETAL Clinical Trials Network members who participated in this trial are listed in the eAppendix in Supplement 3.

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Through September 2020, severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) caused more than 30 million confirmed cases of coronavirus disease 2019 (COVID-19), resulting in more than 1 million deaths globally.<sup>1,2</sup>

Hydroxychloroquine has been widely promoted as a potential therapy for COVID-19 due to its anti-inflammatory effects and in vitro studies suggesting antiviral activity.<sup>3-9</sup> Hydroxychloroquine was adopted into routine care for hospitalized adults with COVID-19 at many hospitals.<sup>10-12</sup> However, lack of evidence on efficacy and safety led multiple groups, including the National Institutes of Health (NIH) and Infectious Diseases Society of America, to recommend clinical trials to evaluate hydroxychloroquine as a potential treatment for patients with COVID-19.<sup>13-15</sup>

This trial—Outcomes Related to COVID-19 Treated With Hydroxychloroquine Among Inpatients With Symptomatic Disease (ORCHID)—was conducted to test the hypothesis that, compared with placebo, hydroxychloroquine improves clinical outcomes for adults hospitalized with COVID-19.

## Methods

### Trial Design and Oversight

Details of the trial's rationale and design were previously published<sup>16</sup> and are available in the trial protocol and statistical analysis plan included in [Supplement 1](#) and [Supplement 2](#), respectively. We conducted a multicenter, blinded, randomized clinical trial comparing hydroxychloroquine vs placebo among hospitalized adults with respiratory illness from COVID-19. Patients were enrolled between April 2, 2020, and June 19, 2020, at 34 hospitals in the US within the Prevention and Early Treatment of Acute Lung Injury (PETAL) Clinical Trials Network (eTable 1 in [Supplement 3](#)). The final outcome assessment was scheduled on July 17, 2020. The trial was funded by the National Heart, Lung, and Blood Institute (NHLBI) of the NIH. A central institutional review board at Vanderbilt University Medical Center approved the study. A data and safety monitoring board (DSMB) appointed by the NHLBI provided trial oversight. The Food and Drug Administration (FDA) issued an investigational new drug exemption (IND No. 149243). Patients or legally authorized representatives provided informed consent for participation, primarily using electronic consent procedures, including electronic consent forms and video conferencing for informed consent discussions, to reduce the risk of spreading the virus and to conserve personal protective equipment.<sup>16</sup>

### Patient Population

Adults (aged ≥18 years) who were hospitalized for less than 48 hours with laboratory-confirmed SARS-CoV-2 infection and symptoms of respiratory illness for less than 10 days were enrolled. The main exclusion criteria were more than 1 dose of hydroxychloroquine or chloroquine in the prior 10 days; QTc interval greater than 500 ms; prior receipt or planned administration of select medications that prolong the QTc interval; and seizure disorder. Full eligibility criteria are listed in eTable 2 in [Supplement 3](#). Race and ethnicity were reported in this study

## Key Points

**Question** Does treatment with hydroxychloroquine improve clinical outcomes of adults hospitalized with coronavirus disease 2019 (COVID-19)?

**Findings** In this randomized clinical trial that included 479 hospitalized adults with respiratory symptoms from COVID-19, the distribution of the day 14 clinical status score (measured using a 7-category ordinal scale) was not significantly different for patients randomized to receive hydroxychloroquine compared with placebo (adjusted odds ratio, 1.02).

**Meaning** These findings do not support the use of hydroxychloroquine for treatment of COVID-19 among hospitalized adults.

because the efficacy of hydroxychloroquine for COVID-19 might vary by race or ethnicity. Race and ethnicity were reported by the participant or surrogate; categories of race and ethnicity were provided in the trial's case report form.

Due to delays in SARS-CoV-2 testing early in the pandemic, the trial was initially designed to enroll hospitalized patients with suspected or confirmed SARS-CoV-2 infection, but after testing capacity increased, eligibility criteria were narrowed to include only laboratory-confirmed cases. Prior to this change, 2 patients without laboratory confirmation of SARS-CoV-2 infection were enrolled; these patients were included in the primary analysis.

### Randomization

Using a centralized electronic system, we randomly assigned enrolled patients to hydroxychloroquine or placebo in a 1:1 ratio stratified by enrolling hospital using randomization block sizes of 2 and 4. Allocation was concealed. Patients, treating clinicians, trial personnel, and outcome assessors were blinded to group assignment.

### Trial Interventions

The first dose of the trial drug was administered within 4 hours of randomization. Patients assigned to the hydroxychloroquine group received 400 mg of hydroxychloroquine sulfate in pill form twice a day for the first 2 doses and then 200 mg in pill form twice a day for the subsequent 8 doses, for a total of 10 doses over 5 days.<sup>7</sup> Patients assigned to the placebo group received matching placebo in the same dosing frequency. Patients discharged from the hospital before day 5 continued the trial medication after discharge to complete the 10-dose course.

An important safety consideration for hydroxychloroquine is QTc prolongation.<sup>17,18</sup> Hence, trial personnel systematically assessed the QTc interval between 24 and 48 hours after administration of the first dose of trial drug. Additional doses of the trial drug were held for a QTc greater than 500 ms. Study personnel monitored daily for administration of medications with potential interactions with hydroxychloroquine and did not administer the trial drug if the participant received a concomitant medication with a high risk for interaction (eTable 3 in [Supplement 3](#)).

Open-label, clinical use of hydroxychloroquine and chloroquine was not allowed during the 5-day course of trial drug. Treating clinicians determined all other aspects of patient care. Concomitant medications were recorded through hospital discharge.

### Outcomes

The primary outcome was clinical status 14 days after randomization assessed with a 7-category ordinal scale (the COVID Outcomes Scale) recommended by the World Health Organization.<sup>19</sup> The scale consisted of 7 mutually exclusive categories: 1, death; 2, hospitalized, receiving extracorporeal membrane oxygenation (ECMO) or invasive mechanical ventilation; 3, hospitalized, receiving noninvasive mechanical ventilation or nasal high-flow oxygen therapy; 4, hospitalized, receiving supplemental oxygen without positive pressure or high flow; 5, hospitalized, not receiving supplemental oxygen; 6, not hospitalized and unable to perform normal activities; and 7, not hospitalized and able to perform normal activities. To distinguish between category 6 and category 7, study personnel assessed the patient's performance of usual activities with questions consistent with validated health status measures.<sup>20,21</sup> Patients who were discharged from the hospital were contacted by telephone for assessment of the COVID Outcome Scale at 7, 14, and 28 days after randomization.

The trial included 12 secondary outcomes: scores on the COVID Outcomes Scale at 2, 7, and 28 days after randomization; all-cause all-location mortality at 14 and 28 days after randomization; time to recovery, defined as time to reach COVID Outcome Scale category 5, 6, or 7; the composite of death or receipt of ECMO through 28 days; and support-free days through 28 days, including hospital-free, oxygen-free, intensive care unit (ICU)-free, ventilator-free, and vasopressor-free days.<sup>22</sup> Data on the occurrence of several safety events with potential mechanistic links to hydroxychloroquine were also systematically collected between randomization and 28 days later, including cytopenia, plasma aspartate aminotransferase or alanine aminotransferase concentration greater than twice the local laboratory upper limit of normal, cardiac arrest treated with cardiopulmonary resuscitation, symptomatic hypoglycemia, ventricular tachyarrhythmia, and seizure. Serious adverse events, defined as untoward medical events leading to death, a life-threatening experience, prolongation of hospitalization, or persistent or significant disability or incapacity in the judgment of the site investigator, were also reported. Definitions for all outcomes are available in the statistical analysis plan (Supplement 2).

### Statistical Analysis

The trial was analyzed by comparing patients randomized to hydroxychloroquine vs those randomized to placebo, with the placebo group serving as the referent. The primary outcome was analyzed with a multivariable proportional odds model with the following prespecified covariables: age, sex, baseline (prerandomization) COVID Outcomes Scale category, baseline Sequential Organ Failure Assessment (SOFA) score,<sup>23</sup> and duration of acute respiratory symptoms prior to

randomization. An adjusted odds ratio (aOR) greater than 1.0 indicated more favorable outcomes on the COVID Outcomes Scale among patients randomized to hydroxychloroquine compared with placebo.

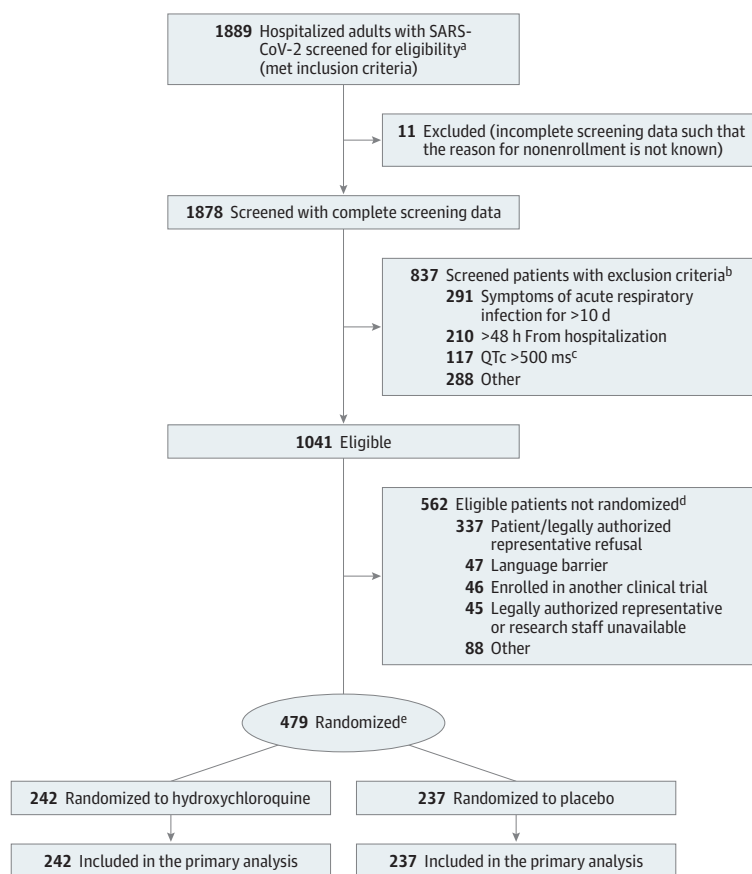
Due to the paucity of information available on COVID-19 at the beginning of the trial for estimation of event rates and treatment effects, we used a bayesian framework to guide serial interim analyses. Interim analyses for the DSMB to evaluate trial data were planned after approximately 102, 204, 306, 408, and 510 patients reached follow-up time for the primary outcome. Enrollment was planned to continue until the DSMB recommended stopping the trial for evidence of efficacy, futility, or harm, based on evaluation of all available data, including data internal and external to the trial. At interim analyses, the DSMB was presented with the probability that the aOR for the primary outcome met each of 3 separate thresholds: greater than 1.0 with a skeptical prior (evidence of efficacy); less than 1.1 with a noninformative prior (evidence of futility); and less than 0.7 with a noninformative prior (evidence of harm) (eTable 4 in Supplement 3). Although there were no mandatory stopping criteria, the investigators suggested and specified in the statistical analysis plan that the DSMB strongly consider stopping the trial if the probability of efficacy (aOR > 1.0) was greater than 95%, the probability of futility (aOR < 1.1) was greater than 90%, or the probability of harm (aOR < 0.7) was greater than 70%. Based on statistical simulation of a range of possible treatment effect sizes, the investigators anticipated that enrolling approximately 510 patients would provide sufficient data for the DSMB to draw conclusions regarding hydroxychloroquine and support recommendations about stopping or continuing the trial.<sup>16</sup> The minimal clinically important difference between groups on the COVID Outcomes Scale was unknown. Enrollment of 510 patients would provide 90% power to detect an aOR of 1.82, which the investigators considered a moderate effect size, using a 2-sided significance level of .05.

Sensitivity analyses for the primary outcome included (1) a modified population limited to patients with laboratory-confirmed SARS-CoV-2 infection; (2) a modified population limited to patients who received at least 1 dose of trial drug; and (3) a post hoc analysis including enrolling site as a random effect in the multivariable proportional odds model.

Heterogeneity of treatment effect by prespecified baseline characteristics was evaluated by adding an interaction term between randomized group assignment and the baseline characteristic of interest in the primary model.<sup>24</sup> Baseline characteristics evaluated in heterogeneity of treatment effect analyses included baseline COVID Outcomes Scale category, hospital location at randomization (ICU vs outside an ICU), baseline SOFA score, duration of symptoms prior to randomization, age, sex, and race/ethnicity.

Secondary outcomes were analyzed using regression models including the same covariables as the primary model (details are provided in the statistical analysis plan in Supplement 2). Survival and hospital discharge through day 28 were analyzed using proportional hazards regression. For the time-to-hospital discharge model, death was treated as

Figure 1. Participant Flow in a Randomized Clinical Trial of Hydroxychloroquine vs Placebo in Patients Hospitalized With Respiratory Symptoms of Coronavirus Disease 2019 (COVID-19)



<sup>a</sup> Between April 2 and April 21, 2020, screened patients included both those with laboratory-confirmed severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) infection and those with suspected SARS-CoV-2 infection. Between April 21, 2020, and the end of the trial (June 19, 2020), only patients with laboratory-confirmed SARS-CoV-2 infection were screened.

<sup>b</sup> Exclusion criteria were not mutually exclusive.

<sup>c</sup> QTc was assessed as a study procedure during the screening process; patients must have had a QTc less than 500 ms at the time of screening to be eligible for the trial.

<sup>d</sup> Reasons for not randomizing were not mutually exclusive.

<sup>e</sup> Randomization was stratified by enrolling hospital.

a competing risk, and the subdistribution hazard ratio was reported.<sup>25</sup> A treatment  $\times$  time interaction was used to test the proportional hazards assumption for the survival and time to discharge models; the proportional hazards assumption was determined to be met for both models.

Post hoc analyses included a comparison of persistent symptoms at 14 and 28 days after randomization between the hydroxychloroquine and placebo groups and evaluation of the primary outcome among patients who received each of the following medications during the same hospitalization as trial enrollment: remdesivir, azithromycin, and corticosteroids.

In presentation of final trial results, between-group differences were reported using point estimates and 2-sided 95% CIs. Results with a 95% CI that did not include the null (eg, a 95% CI for an aOR that did not include 1.0) were considered statistically significant. The widths of confidence intervals were not adjusted for multiplicity and thus findings for analyses of secondary outcomes should be interpreted as exploratory. For patients who remained hospitalized 14 days after randomization, primary outcome ascertainment was completed by medical record review. For patients who were discharged prior to 14 days after randomization, primary outcome ascertainment was completed by telephone calls. Patients who could not be reached by telephone for the primary outcome assessment at day 14 had the COVID Out-

comes Scale score carried forward from a day 7 follow-up call if such a call was successfully completed or had a category 6 score (not hospitalized and unable to perform normal activities) imputed if no prior follow-up calls were successfully completed. Mortality was not imputed when vital status was unknown. Analyses were performed using SAS version 9.4 (SAS Institute) and R rms package version 6.0 and rmsb package version 0.0.1 (R Project for Statistical Computing).

### Stopping the Trial

On June 19, 2020, enrollment was stopped for futility based on recommendations from the DSMB after it reviewed information both internal and external to the trial. Enrollment was stopped at the fourth interim analysis, which included 371 patients with primary outcome data and an additional 108 patients who had not reached 14 days after randomization for primary outcome assessment. At that time, trial data did not meet the prespecified threshold for futility (defined as  $>90\%$  probability of an aOR  $< 1.1$  for the primary outcome) but demonstrated an 81% probability for an aOR less than 1.1. Furthermore, a post hoc conditional power analysis showed less than 1% probability of the trial reaching the prespecified threshold for efficacy (defined as  $>95\%$  probability of an aOR  $> 1.0$ ) if it continued to a sample size of 510 participants (eTable 5 in Supplement 3). At that time,

Table 1. Baseline Patient Characteristics

Characteristic	No. (%)	
	Hydroxychloroquine (n = 242)	Placebo (n = 237)
Age, median (IQR), y	58 (45-69)	57 (43-68)
Sex		
Female	107 (44.2)	105 (44.3)
Male	135 (55.8)	132 (55.7)
Race/ethnicity	n = 232	n = 227
Hispanic/Latinx	91 (39.2)	87 (38.3)
Non-Hispanic		
White	72 (31.0)	65 (28.6)
Black	57 (24.6)	55 (24.2)
American Indian or Alaska Native	5 (2.2)	8 (3.5)
Asian	4 (1.7)	7 (3.1)
Native Hawaiian or Other Pacific Islander	2 (0.9)	4 (1.8)
Multirace	1 (0.4)	1 (0.4)
Living at home in the community prior to hospitalization	190 (78.5)	183 (77.2)
Body mass index, median (IQR) <sup>a</sup>	31.3 (26.4-37.2)	31.1 (27.2-36.5)
No.	226	219
Chronic conditions		
Hypertension	136 (56.2)	117 (49.4)
Diabetes	88 (36.4)	78 (32.9)
Chronic kidney disease	28 (11.6)	14 (5.9)
Coronary artery disease	19 (7.9)	23 (9.7)
Chronic obstructive pulmonary disease	18 (7.4)	21 (8.9)
Location at time of randomization	n = 228	n = 224
Hospital ward	157 (68.9)	132 (58.9)
Intensive care unit	37 (16.2)	54 (24.1)
Emergency department	34 (14.9)	38 (17.0)
Symptoms of acute respiratory infection		
Shortness of breath	175 (72.3)	168 (70.9)
Cough	143 (59.1)	140 (59.1)
Fever (temperature >37.5 °C)	138 (57.0)	132 (55.7)
Duration of symptoms prior to randomization, median (IQR), d	5 (3-7)	5 (3-7)
Time between hospital presentation and randomization, median (IQR), h <sup>b</sup>	22.2 (14.6-33.1)	22.7 (14.1-29.9)
No.	240	234
COVID Outcomes Scale category at randomization <sup>c</sup>		
2: Hospitalized, receiving ECMO or invasive mechanical ventilation	13 (5.4)	19 (8.0)
3: Hospitalized, receiving noninvasive ventilation or nasal high-flow oxygen	28 (11.6)	27 (11.4)
4: Hospitalized, receiving supplemental oxygen without positive pressure or high flow	116 (47.9)	108 (45.6)
5: Hospitalized, not receiving supplemental oxygen	85 (35.1)	83 (35.0)
Vasopressor use at enrollment	8 (3.3)	20 (8.4)
Total SOFA score at enrollment, median (IQR) <sup>d</sup>	2 (1-4)	2 (1-4)
Laboratory measurements <sup>e</sup>		
White blood cell count, median (IQR), ×10 <sup>3</sup> /μL (normal range, 3.9-10.7)	6.0 (4.3-7.9)	5.9 (4.1-7.7)
No.	224	218
Platelet count, median (IQR), ×10 <sup>3</sup> /μL (normal range, 135-371)	199 (151-247)	200 (147-251)
No.	237	230
Creatinine, median (IQR), mg/dL (normal range, 0.57-1.11)	0.90 (0.75-1.47)	0.90 (0.75-1.25)
No.	235	231
Aspartate aminotransferase, median (IQR), U/L (normal range, 5-40)	39 (29-62)	45 (31-70)
No.	173	184
Alanine aminotransferase, median (IQR), U/L (normal range, 0-55)	30 (18-47)	34 (23-62)
No.	174	183

(continued)



Table 1. Baseline Patient Characteristics (continued)

Characteristic	No. (%)	
	Hydroxychloroquine (n = 242)	Placebo (n = 237)
Bilateral infiltrates on chest imaging <sup>f</sup>	147/230 (63.9)	145/230 (63.0)
QTc interval, median (IQR), ms <sup>g</sup>	430 (414-452)	435 (416-452)
No.	242	236

Abbreviations: COVID, coronavirus disease; ECMO, extracorporeal membrane oxygenation; IQR, interquartile range; SOFA, Sequential Organ Failure Assessment.

SI conversion factors: To convert aspartate aminotransferase and alanine aminotransferase to  $\mu\text{kat/L}$ , multiply by 0.0167; creatinine to  $\mu\text{mol/L}$ , multiply by 88.4.

<sup>a</sup> Calculated as weight in kilograms divided by height in meters squared.

<sup>b</sup> Defined as the time of the first contact with an acute care hospital during the health care episode that resulted in the hospitalization during which the patient was enrolled. For patients who initially presented to the emergency department, time of hospital presentation was the time of emergency department arrival. For patients directly hospitalized without presenting to the emergency department, time of hospital presentation was the time of arrival at the admission unit.

<sup>c</sup> The COVID Outcomes Scale is a 7-category ordinal scale that classifies a patient's clinical status.<sup>19</sup> Lower scores indicate more severely ill clinical status.

Patients in the following categories at baseline were not eligible for enrollment: category 1 (death); category 6 (not hospitalized and unable to perform normal activities); and category 7 (not hospitalized and able to perform normal activities).

<sup>d</sup> The SOFA score<sup>23</sup> categorizes illness severity based on organ dysfunction across 6 organ systems: respiratory, coagulation, liver, cardiovascular, central nervous system, and kidney. SOFA scores range from 0 to 24, with higher scores indicating greater illness severity. A SOFA score of 2 indicates moderate dysfunction in 1 organ system or mild dysfunction in 2 organ systems.

<sup>e</sup> Laboratory normal ranges were reported base on the clinical laboratory normal ranges from Vanderbilt University Medical Center. Normal ranges may vary across laboratories.

<sup>f</sup> Reported chest imaging interpretations were based on final interpretation from radiologists.

<sup>g</sup> Reported QTc was based on automated readings.

new information about hydroxychloroquine from sources external to the trial reviewed by the DSMB included (1) a June 5, 2020, press release from the Randomized Evaluation of COVID-19 Therapy (RECOVERY) platform trial leadership stating that results from their trial suggested no survival benefit from hydroxychloroquine<sup>26</sup>; (2) a June 15, 2020, revision to the FDA Emergency Use Authorization for remdesivir recommending against co-administration of remdesivir and hydroxychloroquine due to the potential of hydroxychloroquine reducing the efficacy of remdesivir<sup>27</sup>; and (3) a June 16, 2020, press release from the Medicines and Healthcare products Regulatory Agency instructing all clinical trials of hydroxychloroquine in the United Kingdom to suspend recruitment.<sup>28</sup>

## Results

### Patients

During the 78-day enrollment period, 1889 patients were screened; 1041 patients met eligibility criteria and 479 patients were randomized (Figure 1). The most common reasons for exclusion among screened patients were duration of respiratory symptoms longer than 10 days (34.8% of exclusions), hospitalization for more than 48 hours at the time of screening (25.1%), and QTc greater than 500 ms (14.0%). The most common reason for eligible patients not to be enrolled was the patient or legally authorized representative declining participation (60.0%). Among enrolled patients, the median age was 57 years (interquartile range [IQR], 44 to 68 years), 44.3% were female, 37.2% were Hispanic/Latinx, and 23.4% were Black. The median duration of symptoms prior to randomization was 5 days (IQR, 3 to 7 days). Among 479 enrolled patients, 242 (50.5%) were randomized to hydroxychloroquine and 237 (49.5%) were randomized to placebo. Baseline characteristics of patients randomized to the hydroxychloro-

quine group and placebo group are presented in Table 1 and eTables 6-11 in Supplement 3.

Primary outcome assessment of the COVID Outcomes Scale 14 days after randomization was completed for 433 (90.4%) of 479 randomized patients; 46 patients who were discharged from the hospital before primary outcome assessment, including 25 in the hydroxychloroquine group and 21 in the placebo group, were not successfully contacted for primary outcome evaluation and had values imputed based on a follow-up call on day 7 or were assigned a score of 6 if no call was completed on day 7. Follow-up information on survival through day 28 was completed for 477 (99.6%) of 479 randomized patients; 1 patient in the hydroxychloroquine group and 1 patient in the placebo group were lost to follow-up for vital status.

### Receipt of Trial Drug and Cointerventions

In the hydroxychloroquine group, 242 (100%) of 242 patients received at least 1 dose of the trial drug, and 2149 (88.8%) of 2420 scheduled doses of trial drug were received (eTables 12-13 in Supplement 3). In the placebo group, 231 (97.5%) of 237 patients received at least 1 dose of placebo, and 2038 (86.0%) of 2370 scheduled doses of placebo were received. QTc prolongation greater than 500 ms was the reason for 38 (14.0%) of the missed doses in the hydroxychloroquine group and 21 (6.3%) of the missed doses in the placebo group.

Among the 479 patients in the trial, remdesivir, azithromycin, and corticosteroids were received by 104 (21.7%), 91 (19.0%), and 88 (18.4%) patients, respectively, during the same hospitalization in which they were enrolled in the trial (eTables 14-15 in Supplement 3).

### Primary Outcome

At 14 days after randomization, there was no significant difference in the COVID Outcomes Scale score between the

Table 2. Outcomes, Systematically Collected Safety Events, and Serious Adverse Events

Outcome	Hydroxychloroquine (n = 242)	Placebo (n = 237)	Unadjusted absolute difference (95% CI) <sup>a</sup>	Adjusted odds ratio or odds ratio (95% CI) <sup>b</sup>
<b>Primary outcome</b>				
COVID Outcomes Scale score at 14 d, median (IQR) <sup>c</sup>	6 (4 to 7)	6 (4 to 7)	0 <sup>d</sup>	1.02 (0.73 to 1.42)
<b>Secondary outcomes</b>				
COVID Outcomes Scale score, median (IQR) <sup>c</sup>				
At 2 d	4 (3 to 5)	4 (3 to 5)	0 <sup>d</sup>	1.28 (0.90 to 1.81)
At 7 d	5 (4 to 7)	6 (3 to 6)	-1 (-2 to 0)	1.16 (0.84 to 1.61)
At 28 d	6 (6 to 7)	6 (6 to 7)	0 (-1 to 1)	0.97 (0.69 to 1.38)
All-cause, all-location death, No. (%)				
At 14 d	n = 241 18 (7.5)	n = 236 14 (5.9)	1.5 (-2.9 to 6.0)	1.56 (0.68 to 3.57)
At 28 d	25 (10.4)	25 (10.6)	-0.2 (-5.7 to 5.3)	1.07 (0.54 to 2.09)
Time to recovery in days, median (IQR)	5 (1 to 14)	6 (1 to 15)	-1 (-3 to 1)	0.97 (0.69 to 1.35)
Composite of death or ECMO through 28 d, No./total No. (%)	29/241 (12.0)	28/236 (11.9)	0.2 (-5.6 to 6.0)	1.13 (0.60 to 2.14)
Support-free days through day 28, median (IQR)				
Hospital-free days	21 (11 to 24)	20 (10 to 24)	1 (-1 to 3)	1.17 (0.85 to 1.61)
Oxygen-free days	21 (0 to 27)	20 (0 to 27)	1 (-2 to 4)	0.96 (0.68 to 1.34)
ICU-free days	28 (21 to 28)	28 (18 to 28)	0 (0 to 0)	1.26 (0.84 to 1.88)
Ventilator-free days	28 (28 to 28)	28 (28 to 28)	0 <sup>d</sup>	1.26 (0.76 to 2.08)
Vasopressor-free days	28 (28 to 28)	28 (28 to 28)	0 <sup>d</sup>	1.03 (0.61 to 1.72)
Systematically collected safety events, No. (%) <sup>e</sup>				
Cytopenia <sup>f</sup>	92 (38.0)	87 (36.7)	1.3 (-7.4 to 10.0)	1.06 (0.73 to 1.53)
AST or ALT ≥2 times upper limit of normal	50 (20.7)	65 (27.4)	-6.8 (-14.4 to 0.9)	0.69 (0.45 to 1.05)
Cardiac arrest treated with CPR <sup>g</sup>	10 (4.1)	4 (1.7)	2.5 (-0.8 to 5.6)	2.51 (0.78 to 8.12)
Symptomatic hypoglycemia <sup>h</sup>	10 (4.1)	8 (3.4)	0.8 (-2.8 to 4.3)	1.23 (0.48 to 3.18)
Ventricular tachyarrhythmia <sup>i</sup>	5 (2.1)	6 (2.5)	-0.5 (-3.4 to 2.4)	0.81 (0.24 to 2.70)
Seizure	1 (0.4)	0	0.4 (-1.0 to 1.8)	
Patients with ≥1 SAEs reported <sup>j</sup>	14 (5.8)	11 (4.6)	1.1 (-3.0 to 5.2)	1.26 (0.56 to 2.84)

Abbreviations: ALT, alanine aminotransferase; AST, aspartate aminotransferase; COVID, coronavirus disease; CPR, cardiopulmonary resuscitation; ECMO, extracorporeal membrane oxygenation; ICU, intensive care unit; IQR, interquartile range; SAE, serious adverse event.

<sup>a</sup> For multilevel ordinal variables (COVID Outcomes Scale and support-free outcomes), the unadjusted absolute difference was calculated as the median value for the hydroxychloroquine group minus the median value for the placebo group; CIs were computed based on quantile regression using the proc quantreg procedure. For dichotomous variables, the unadjusted absolute difference was calculated as the percentage of participants with the outcome in the hydroxychloroquine group minus the percentage of participants with the outcome in the placebo group; CIs for binomial risk differences were computed using a Wald or Agresti-Coull method.

<sup>b</sup> Models for the primary and secondary outcomes were constructed with trial group assignment (hydroxychloroquine vs placebo) as the independent variable, the outcome as the dependent variable, and the following covariables: age, sex, baseline COVID Outcome Scale category, baseline Sequential Organ Failure Assessment score, and duration of acute respiratory infection symptoms prior to randomization. Multivariable proportional odds models were used for the COVID Outcomes Scale outcomes and support-free outcomes. Multivariable logistic regression models were used for death outcomes. Systematically collected safety events and SAEs were analyzed with simple logistic regression models without covariable adjustment. Odds ratios (ORs) greater than 1.0 indicated more favorable outcomes for patients in the hydroxychloroquine group compared with the placebo group for the following outcomes: COVID Outcomes Scale score (adjusted OR [aOR] >1.0 indicated higher score on the scale) and support-free days (aOR >1.0 indicated more support-free days). ORs greater than 1.0 indicated less favorable outcomes for patients in the hydroxychloroquine group compared with the placebo group for the following outcomes: death (aOR >1.0 indicated more death), systematically collected safety events (OR >1.0 indicated more safety events), and SAEs (OR >1.0 indicated more SAEs).

<sup>c</sup> The COVID Outcomes Scale is a 7-category ordinal scale that classifies a patient's clinical status.<sup>19</sup> The 7 categories are 1: death; 2: hospitalized, receiving ECMO or invasive mechanical ventilation; 3: hospitalized, receiving noninvasive mechanical ventilation or nasal high-flow oxygen therapy; 4: hospitalized, receiving supplemental oxygen; 5: hospitalized, not receiving supplemental oxygen; 6: not hospitalized and unable to perform normal activities; and 7: not hospitalized and able to perform normal activities.

<sup>d</sup> CIs for the absolute difference were not calculated for ordinal variables with identical medians and IQRs in the hydroxychloroquine and placebo groups.

<sup>e</sup> Variables collected based on known potential toxicities of hydroxychloroquine were collected for every participant. Adverse event and serious adverse event reporting was based on the judgement of site investigators.

<sup>f</sup> Defined as any of the following values on a clinically obtained laboratory test between randomization and 28 days later: absolute neutrophil count less than 1000 cells/μL; absolute lymphocyte count less than 1000 cells/μL; hemoglobin less than 12.0 g/dL; and platelet count less than 50 000/μL.

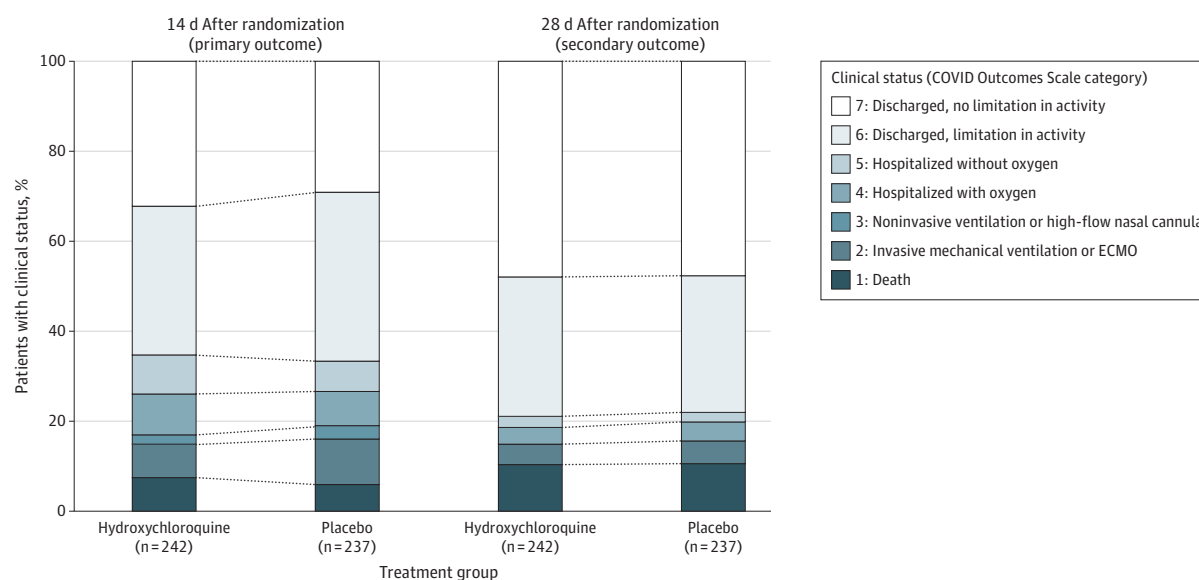
<sup>g</sup> Defined as loss of a palpable pulse treated as a cardiac arrest with resuscitative efforts between randomization and 28 days later. Expected cardiac arrest that occurred as part of the dying process for patients on comfort measures was not classified as cardiac arrest treated with CPR.

<sup>h</sup> Defined as a clinically reported low blood glucose level (no specific threshold provided) that led to treatment for reversal of hypoglycemia between randomization and 28 days later.

<sup>i</sup> Ventricular tachyarrhythmia was defined as ventricular fibrillation or ventricular tachycardia treated with a medication or electrical cardioversion or defibrillation between randomization and 28 days later.

<sup>j</sup> Serious adverse event was defined as an untoward medical event leading to death, a life-threatening experience, prolongation of hospitalization, or persistent or significant disability or incapacity. A detailed report of adverse events and serious adverse events is provided in eTable 24 in Supplement 3.

Figure 2. Clinical Status on the Coronavirus Disease (COVID) Outcomes Scale 14 Days and 28 Days After Randomization



Clinical status (COVID Outcomes Scale category)	14 d After randomization, No. (%)		28 d After randomization, No. (%)	
	Hydroxychloroquine (n = 242)	Placebo (n = 237)	Hydroxychloroquine (n = 242)	Placebo (n = 237)
7: Discharged, no limitation in activity	78 (32.3)	69 (29.1)	116 (47.9)	113 (47.7)
6: Discharged, limitation in activity	80 (33.1)	89 (37.6)	75 (31.0)	72 (30.4)
5: Hospitalized without oxygen	21 (8.7)	16 (6.8)	6 (2.5)	5 (2.1)
4: Hospitalized with oxygen	22 (9.1)	18 (7.6)	9 (3.7)	10 (4.2)
3: Noninvasive ventilation or high-flow nasal cannula	5 (2.1)	7 (3.0)	0	0
2: Invasive mechanical ventilation or ECMO	18 (7.4)	24 (10.1)	11 (4.5)	12 (5.1)
1: Death	18 (7.4)	14 (5.9)	25 (10.3)	25 (10.5)

ECMO indicates extracorporeal membrane oxygenation. There was no significant difference between the hydroxychloroquine group and placebo group in the overall distribution of scores at 14 days (adjusted odds ratio, 1.02 [95% CI, 0.73-1.42]) or 28 days (adjusted odds ratio, 0.97 [95% CI, 0.69-1.38]).

hydroxychloroquine group (median [IQR] score, 6 [4-7]) and placebo group (median [IQR] score, 6 [4-7]) (aOR, 1.02 [95% CI, 0.73-1.42]) (Table 2; Figure 2). Similarly, there were no significant differences in the primary outcome in sensitivity analyses that limited the population to patients with laboratory-confirmed SARS-CoV-2 infection (n = 477), that limited the population to patients who received at least 1 dose of trial drug (n = 473), and that included enrolling site as a random effect (n = 479) (eTable 16 in Supplement 3). There was no significant difference in the primary outcome between the hydroxychloroquine group and placebo group in any prespecified subgroups, including those based on age, sex, race/ethnicity, baseline illness severity, and duration of symptoms (eFigure in Supplement 3). In post hoc analyses among subgroups of patients treated clinically with open-label remdesivir, azithromycin, and corticosteroids, there were no significant differences in the primary outcome between the hydroxychloroquine group and placebo group (eTable 17 in Supplement 3).

### Secondary Outcomes

There was no significant difference in any of the 12 secondary outcomes between the hydroxychloroquine and placebo groups (Table 2; eTables 18-19 in Supplement 3).

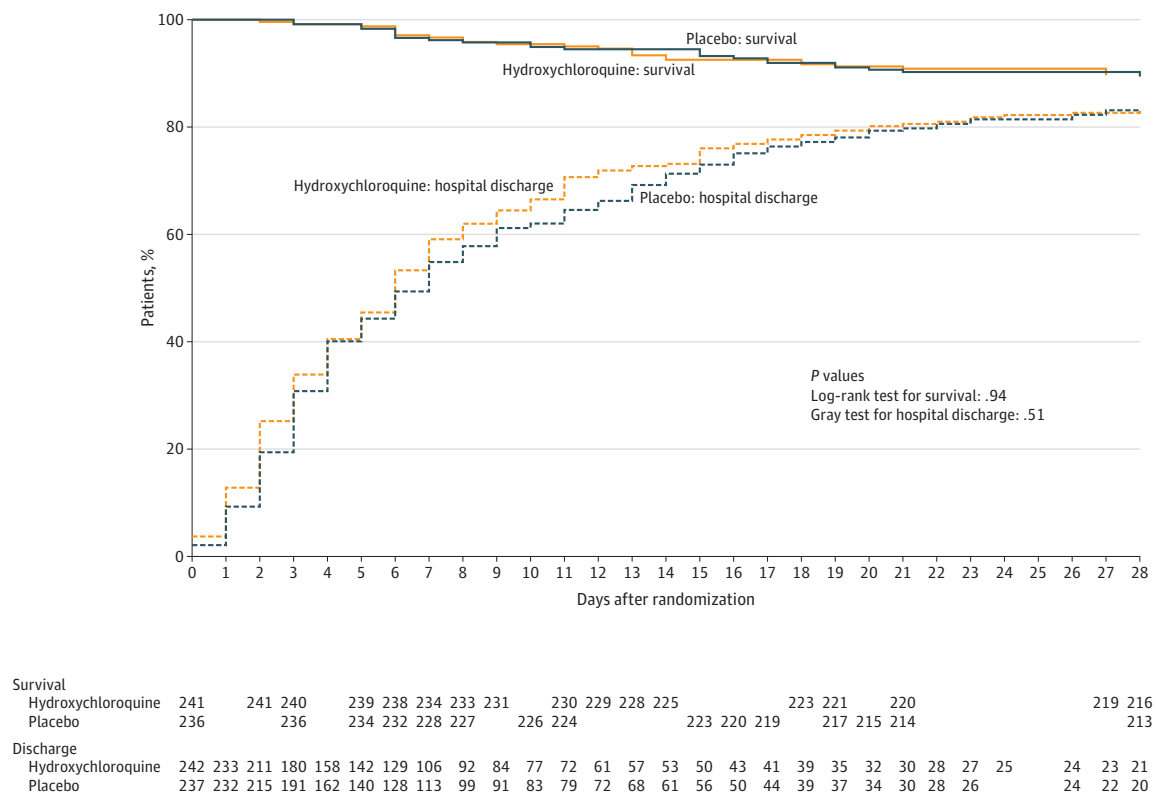
At 28 days after randomization, 25 (10.4%) of 241 patients with confirmed vital status in the hydroxychloroquine group and 25 (10.6%) of 236 patients with confirmed vital status in the placebo group had died (aOR, 1.07 [95% CI, 0.54-2.09]) (Figure 3). In a post hoc analysis, persistent symptoms of COVID-19 were common in both the hydroxychloroquine and placebo groups at 14 days (34.7% vs 32.9%) and 28 days (28.5% vs 30.4%) after randomization (eTable 20 in Supplement 3).

### Systematically Collected Safety Events and Adverse Events

Data on systematically collected safety events and adverse events are presented in eTables 21 to 24 in Supplement 3. In the 5 days following randomization, 13 patients (5.9% of 221 patients with QTc assessed) in the hydroxychloroquine group and 7 patients (3.3% of 214 patients with QTc assessed) in the placebo group had a recorded QTc interval greater than 500 ms. A total of 30 serious adverse events were reported, including 18 serious adverse events from 14 patients (5.8%) in the hydroxychloroquine group and 12 serious adverse events from 11 patients (4.6%) in the placebo group.



Figure 3. Survival and Hospital Discharge Through 28 Days Following Randomization



The survival curves are survival function (Kaplan-Meier) curves with a *P* value calculated by the log-rank test. Patients were followed up for death until 28 days following randomization using in-hospital records and telephone follow-up. Two patients had unknown vital status at 28 days and were not included in this analysis. The hospital discharge curves are cumulative incidence curves of hospital discharge accounting for the competing risk of death with a *P* value calculated by Gray test. For hospital discharge, all patients were

followed up to discharge or 28 days after randomization. A patient was considered discharged from the hospital once discharged from the index hospitalization; rehospitalizations were not considered in this analysis. There was no difference between the hydroxychloroquine group and placebo group in survival (adjusted hazard ratio, 1.05 [95% CI, 0.60-1.85]) or time to discharge (adjusted hazard ratio, 1.09 [95% CI, 0.89-1.32]).

## Discussion

In this multicenter, blinded, placebo-controlled randomized clinical trial conducted at 34 US hospitals, treatment with hydroxychloroquine did not improve or worsen clinical outcomes for adults hospitalized for respiratory illness from COVID-19. These findings were consistent in all subgroups and for all outcomes evaluated, including an ordinal scale of clinical status, mortality, organ failures, duration of oxygen use, and hospital length of stay.

Enthusiasm for hydroxychloroquine as a potential therapy for COVID-19 was sparked by in vitro studies that suggested it limited entry of SARS-CoV-2 into human cells by inhibiting glycosylation of cell receptors targeted by coronaviruses and increasing endosomal pH, thereby reducing endosome-mediated viral entry.<sup>6-8</sup> Additionally, hydroxychloroquine reduces the production of several proinflammatory cytokines involved in the development of acute respiratory distress syndrome, a severe manifestation of COVID-19.<sup>3-5</sup> These factors, combined with broad availability, oral administration, and perceived safety based on historical use in the treat-

ment of malaria and rheumatologic diseases,<sup>4</sup> led to widespread clinical use of hydroxychloroquine for COVID-19.<sup>10,15</sup> On March 28, 2020, the FDA issued an Emergency Use Authorization for hydroxychloroquine to treat adults hospitalized with COVID-19,<sup>29</sup> which was later revoked on June 15, 2020.<sup>30</sup>

The finding of this clinical trial that hydroxychloroquine was not efficacious for the treatment of COVID-19 is consistent with results from recent in vitro studies suggesting no antiviral activity for hydroxychloroquine against SARS-CoV-2<sup>31,32</sup> and open-label pragmatic trials in the United Kingdom<sup>33</sup> and Brazil<sup>34</sup> suggesting no clinical benefit. Interpreted along with these prior studies, the results of this trial provide strong evidence that hydroxychloroquine is not beneficial for adults hospitalized with COVID-19.

Strengths of this trial included its blinded, placebo-controlled design, high adherence to the study protocol, rigorous monitoring for safety events and adverse events, and rapid recruitment from geographically diverse hospitals serving ethnically and racially diverse populations within the US. Additionally, the primary outcome was a patient-centered, clinically meaningful ordinal scale that captured mortality and morbidity related to COVID-19.

## Limitations

This trial had several limitations. First, the trial only included hospitalized adults, and findings may not be generalizable to other populations.

Second, patients with respiratory symptoms for up to 10 days prior to randomization were included. Some trials of antiviral medications limit enrollment to patients with symptoms for a shorter duration in an effort to enrich the population for patients most likely to benefit; however, notably, no evidence to suggest efficacy of hydroxychloroquine among patients with shorter duration of symptoms was found in this trial.

Third, outcome ascertainment was limited to 28 days after randomization to accelerate dissemination of findings in the context of an ongoing pandemic; reporting long-term outcomes of trial participants is planned for the future.

Fourth, the minimal clinically important difference in scores on the COVID Outcomes Scale is unknown. While the 95% CI for the aOR for the primary outcome in this trial (0.73-1.42) did not include point estimates that have been considered clinically meaningful in prior trials of COVID-19 therapies,<sup>35,36</sup> moderate sample size in this trial may mean that it had inadequate power to exclude small, yet clinically meaningful, differences between groups.

Fifth, the trial did not include collection of information on serum hydroxychloroquine concentrations, viral shedding, or biomarkers of inflammation.

Sixth, only 1 dosing regimen of hydroxychloroquine was studied in the trial; this regimen was selected based on guidance from the FDA, in vitro studies of hydroxychloroquine lung concentrations,<sup>7</sup> and doses commonly used in US hospitals for COVID-19. Other trials that evaluated higher doses of hydroxychloroquine also demonstrated no clinical benefit.<sup>33,34</sup>

Seventh, this trial evaluated hydroxychloroquine as monotherapy for COVID-19 and did not systematically study coadministration with azithromycin,<sup>9</sup> zinc,<sup>37</sup> remdesivir,<sup>35,36</sup> or other agents.

## Conclusions

Among adults hospitalized with respiratory illness from COVID-19, treatment with hydroxychloroquine, compared with placebo, did not significantly improve clinical status at day 14. These findings do not support the use of hydroxychloroquine for treatment of COVID-19 among hospitalized adults.

## ARTICLE INFORMATION

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**Author Contributions:** Drs Schoenfeld and Hayden had full access to all of the data in the study and take responsibility for the integrity of the data and the accuracy of the data analysis. Drs Schoenfeld and Self take responsibility for the trial overall. **Concept and design:** Self, Semler, Angus, Casey, Brower, Collins, Eppensteiner, Ginde, Gong, Johnson, Moss, Rice, Robinson, Schoenfeld, Shapiro, Steingrub, Weissman, Yealy, Thompson, Brown. **Acquisition, analysis, or interpretation of data:** Self, Semler, Leither, Casey, Angus, Brower, Chang, Collins, Filbin, Files, Gibbs, Ginde, Gong, Harrell, Hayden, Hough, Johnson, Khan, Lindsell, Matthay, Park, Rice, Robinson, Schoenfeld, Steingrub, Ulysse, Weissman, Thompson, Brown. **Drafting of the manuscript:** Self, Semler, Casey, Angus, Collins, Johnson, Khan, Matthay, Moss, Robinson, Shapiro, Steingrub, Ulysse, Weissman, Yealy, Thompson, Brown.

**Critical revision of the manuscript for important intellectual content:** Self, Semler, Leither, Casey, Angus, Brower, Chang, Collins, Eppensteiner, Filbin, Files, Gibbs, Ginde, Gong, Harrell, Hayden, Hough, Johnson, Schoenfeld, Khan, Lindsell, Matthay, Moss, Park, Rice, Robinson, Shapiro, Steingrub, Ulysse, Yealy, Thompson, Brown. **Statistical analysis:** Semler, Casey, Angus, Gibbs, Harrell, Hayden, Lindsell, Schoenfeld, Steingrub, Ulysse. **Obtained funding:** Self, Collins, Gong, Moss, Shapiro, Thompson. **Administrative, technical, or material support:** Self, Semler, Casey, Angus, Ginde, Khan, Rice, Robinson, Shapiro, Thompson. **Supervision:** Self, Semler, Casey, Angus, Brower, Chang, Collins, Ginde, Johnson, Moss, Rice, Robinson, Yealy, Thompson, Brown.

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work. Dr Filbin reported receiving grants from the NHLBI during the conduct of the study. Dr Files reported receiving grants from the NIH during the conduct of the study and personal fees from Cytoval and Medpace outside the submitted work. Dr Ginde reported receiving grants from the NIH during the conduct of the study. Dr Gong reported receiving grants from the NHLBI for the submitted work and research funding from the Agency for Healthcare Research and Quality and Regeneron outside the submitted work. Dr Harrell reported receiving grants from the NHLBI and the NCATS during the conduct of this study and personal fees from Adapt Health, Springer, Stanford, University of Texas, ICSB, Duke, Ottawa Hospital, American Statistical Association, Yale, Virginia Commonwealth University, and Arnold Foundation outside the submitted work. Dr Hough reported receiving grants from the NIH during the conduct of the study. Dr Khan reported receiving grants from GlaxoSmithKline, United Therapeutics, Reata Pharmaceuticals, Actelion Pharmaceuticals, and Lung LLC outside the submitted work. Dr Lindsell reported receiving grants from the NHLBI during the conduct of the study and grants from the Department of Defense, NCATS, the NHLBI, the Centers for Disease Control and Prevention, and Marcus Foundation; research contracts from Endpoint Health, Entegron, and bioMerieux outside the submitted work; in addition, Dr Lindsell has a patent risk stratification in sepsis and septic shock issued. Dr Matthey reported receiving grants from the NHLBI during the conduct of the study and grants from Bayer Pharmaceuticals, Roche-Genentech, the Department of Defense, and the California Institute of Regenerative Medicine and personal fees from GENIE LifeSciences, Citius Pharma, and Novartis outside the submitted work. Dr Moss reported receiving grants from the NHLBI during the conduct of the study. Dr Park reported receiving grants from NHLBI during the conduct of the study and grants from Eli Lilly and service on Council of the Society of Critical Care Medicine outside the submitted work. Dr Rice reported receiving grants from the NHLBI during the conduct of the study and personal fees from Cumberland Pharmaceuticals Inc, Avisa Pharmaceutical LLC consulting, and Cytoval Inc outside the submitted work. Dr Schoenfeld reported receiving grants from the NIH during the conduct of the study and personal fees from Immunity Pharma and Theravance outside the submitted work. Dr Shapiro reported receiving grants from the NIH during the conduct of the study. Dr Steingrub reported receiving grants from the NHLBI during the conduct of the study. Dr Yealy reported receiving grants from the NHLBI during the conduct of the study and personal fees from McGraw Hill Inc, Lippincott Williams & Wilkins, Wolters Kluwer Inc, the American College of Emergency Physicians, multiple legal corporations, and UpToDate Inc outside the submitted work. Dr Thompson reported receiving grants from the NHLBI during the conduct of the study and personal fees from Bayer, Novartis, and Thetis outside the submitted work. Dr Brown reported receiving grants from the NHLBI during the conduct of the study and personal fees from Hamilton, Oxford University Press/Brigham Young University, and New York University and grants from Faron Pharmaceuticals, Sedana Pharmaceuticals, Janssen, the NIH, and Department of Defense outside the submitted work. No other disclosures were reported.

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**Disclaimer:** Dr Angus is Senior Editor of *JAMA*, but he was not involved in any of the decisions regarding review of the manuscript or its acceptance.

**Group Information:** The National Heart, Lung, and Blood Institute PETAL Clinical Trials Network members are listed in the eAppendix in [Supplement 3](#).

**Data Sharing Statement:** See [Supplement 4](#).

**Additional Information:** The data analyses for this trial were conducted at the PETAL Clinical Trials Network Data Coordinating Center at Massachusetts General Hospital by Drs Schoenfeld and Hayden and Ms Ulysse.

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